BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)))
Philipp Leo Bannwart, M.D.) Case No. 800-2017-035909
Physician's and Surgeon's)
Certificate No. A 83871)
Respondent)) _)
	DECISION

The attached Stipulated Surrender of License and Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on March 8, 2019

IT IS SO ORDERED March 1, 2019

MEDICAL BOARD OF CALIFORNIA

Kimberly Kirchmeyer

Executive Director

1	XAVIER BECERRA			
2	Attorney General of California JANE ZACK SIMON			
3	Supervising Deputy Attorney General REBECCA D. WAGNER			
	Deputy Attorney General			
4	State Bar No. 165468 455 Golden Gate Avenue, Suite 11000			
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6	Facsimile: (415) 703-5480			
7	E-mail: Rebecca.Wagner@doj.ca.gov Attorneys for Complainant	• .		
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10	STATE OF CALIFORNIA			
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13	In the Matter of the Accusation Against:	Case No. 800-2017-035909		
14	PHILIPP LEO BANNWART, M.D.			
15	BAHNHOFPLATZ 6 3920 ZERMATT 99 Switzerland	STIPULATED SURRENDER OF LICENSE AND ORDER		
16 17	Physician's and Surgeon's Certificate No. A 83871			
18	Respondent.			
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20	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-		
21	entitled proceedings that the following matters are true:			
22	<u>PARTIES</u>			
23	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Boar			
24	of California (Board). She brought this action solely in her official capacity and is represented in			
25	this matter by Xavier Becerra, Attorney General of the State of California, by Rebecca D.			
26	Wagner, Deputy Attorney General.			
27	2. Philipp Leo Bannwart, M.D. (Respon	dent) is representing himself in this proceeding		
28	and has chosen not to exercise his right to be repr	esented by counsel.		

3. On or about July 2, 2003, the Board issued Physician's and Surgeon's Certificate No. A 83871 to Philipp Leo Bannwart, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2017-035909 and will expire on February 28, 2019, unless renewed.

JURISDICTION

4. Accusation No. 800-2017-035909 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on December 17, 2018. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 800-2017-035909 is attached as Exhibit A and incorporated by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, and understands the charges and allegations in Accusation No. 800-2017-035909. Respondent also has carefully read, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel, at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent fully understands and agrees that if he ever files an application for relicensure or reinstatement in the State of California, the Board shall treat it as a petition for reinstatement, and Respondent must comply with all laws, regulations and procedures for reinstatement of a revoked license in effect at the time the petition is filed.
- 8. Respondent understands that he may not petition for reinstatement as a physician and surgeon for a period of three (3) years from the effective date of his surrender. Information gathered in connection with Accusation No. 800-2017-035909 may be considered by the Board in

determining whether or not to grant the petition for reinstatement. For the purposes of the reinstatement hearing, the allegations contained in Accusation No. 800-2017-035909 shall be deemed admitted by Respondent, and Respondent waives any and all defenses based on a claim of laches or the statute of limitations.

9. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 10. For the purpose of resolving the charges and allegations in the Accusation, without the expense and uncertainty of further proceedings, Respondent agrees that based on the allegations in Accusation No. 800-2017-035909 cause exists for discipline and hereby surrenders his Physician's and Surgeon's Certificate No. A 83871 for the Board's formal acceptance.
- 11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Medical Board or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.
- 12. Respondent understands that by signing this stipulation he enables the Board to issue an order accepting the surrender of his Physician's and Surgeon's Certificate without further process.

CONTINGENCY

13. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

- 14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 83871, issued to Respondent Philipp Leo Bannwart, M.D., is surrendered and accepted by the Board.

- 1. The surrender of Respondent's Physician's and Surgeon's Certificate and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent. This stipulation constitutes a record of the discipline and shall become a part of Respondent's license history with the Board.
- 2. Respondent shall lose all rights and privileges as a Physician and Surgeon in California as of the effective date of the Board's Decision and Order.
- 3. Respondent shall cause to be delivered to the Board his pocket license and, if one was issued, his wall certificate on or before the effective date of the Decision and Order.
- 4. If Respondent ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked or surrendered license in effect at the time the petition is filed, and all of the charges and allegations contained in Accusation No. 800-2017-035909 shall be deemed to be true, correct and admitted by Respondent when the Board determines whether to grant or deny the petition.

ACCEPTANCE

I have carefully read the Stipulated Surrender of License and Order. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and

agree to be bound by the Decision and Order of the Medical Board of California.

DATED: Feb. 15, 7.019

PHILIPP LEO BANGWART, M.D.

Respondent'

ENDORSEMENT

The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted for consideration by the Medical Board of California of the Department of Consumer Affairs.

Dated:

Respectfully submitted,

XAVIER BECERRA Attorney General of California JANE ZACK SIMON

Supervising Deputy Attorney General

REBECCA D. WAGNER
Deputy Attorney General
Attorneys for Complainant

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Exhibit A

Accusation No. 800-2017-035909

FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO DC 1720 18 BY D RICHARDS ANALYST

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XAVIER BECERRA	BY D-RICHARDS ANALYST	
Attorney General of California JANE ZACK SIMON	·	
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BEFOR MEDICAL BOARI	RE THE	
	ONSUMER AFFAIRS	
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In the Matter of the Accusation Against:	Case No. 800-2017-035909	
Philipp Leo Bannwart, M.D.	ACCUSATION	
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Switzerland		
Physicians and Supposed Cartiffication		
Physician's and Surgeon's Certificate No. A 83871,		
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Respondent.		
		
Complainant alleges:	•	
PAR	TIES	
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) brings this Accusation solely in her official	
capacity as the Executive Director of the Medica	l Board of California, Department of Consume	r
Affairs (Board).		
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2. On or about July 2, 2003, the Medica	al Board issued Physician's and Surgeon's	
Certificate Number A 83871 to Philipp Leo Bana	nwart, M.D. (Respondent). The Physician's and	1
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Surgeon's Certificate was in full force and effect at all times relevant to the charges brought

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- "(4) Be publicly reprimanded by the board. The public reprimand may include a requirement that the licensee complete relevant educational courses approved by the board.
- "(5) Have any other action taken in relation to discipline as part of an order of probation, as the board or an administrative law judge may deem proper.
- "(b) Any matter heard pursuant to subdivision (a), except for warning letters, medical review or advisory conferences, professional competency examinations, continuing education activities, and cost reimbursement associated therewith that are agreed to with the board and successfully completed by the licensee, or other matters made confidential or privileged by existing law, is deemed public, and shall be made available to the public by the board pursuant to Section 803.1."
 - 6. Section 2234 of the Code, states, in relevant part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - "(d) Incompetence.

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Muir Medical Center. Patient P-1 stated her pain was a 7 out of 10 and was generalized. Respondent prescribed 180 pills of 10 milligrams of methadone to Patient P-1.

- 11. On August 10, 2011, Patient P-1 was treated again by Respondent for pain management issues. Respondent noted in the chart that Patient P-1 was almost blind and he referred her to Ophthalmology. Patient P-1 was prescribed 320 10 milligram pills of methadone between Respondent (300 pills) and another physician (20 pills). The total methadone dosage per day was 100 milligrams.
- 12. Respondent treated Patient P-1 again on September 1, 2011 for a follow-up visit and Patient P-1 stated her pain was 9 out of 10 and was "uncontrolled" but that pain levels improved on higher doses of methadone. She had run out of pain medication the day before and was "withdrawing." On September 12, 2011, Patient P-1 called the Concord Health Center stating the pharmacy would not fill her prescription because the dosage was increased. Patient P-1 returned for follow-up on September 14, 2011 for medication as there was some difficulty getting her methadone approved by insurance.
- 13. On October 5, 2011, Patient P-1 was treated by Respondent for a follow-up to get methadone refilled and said it worked well with her pain.
- 14. In October and November 2011, Respondent prescribed 480 pills totaling 160 milligrams of methadone per day. In addition, Respondent prescribed 180 10 milligram hydrocodone/acetaminophen pills totaling 60 milligrams per days (an MME of 60 per day). Respondent also prescribed 480 milliliters of 10 milligram/5 milliliters codeine promethazine syrup⁸ with an MME of 4.8 per day.
- 15. On December 19, 2011, Patient P-1 was seen by Respondent for follow-up and medication refill after having been hospitalized as suicidal because of uncontrolled pain. She stated her pain was 9 out of 10 and the methadone dosage was "not enough". Respondent referred Patient P-1 to John Muir Behavioral Health Center but she started experiencing shortness

⁸ Promethazine-codeine syrup is a prescription medicine used to temporarily treat cough and upper respiratory symptoms. It is a Schedule V controlled substance, and, if taken in conjunction with benzodiazepines, or other central nervous depressants, including alcohol, can cause severe drowsiness, breathing problems (respiratory depression), coma and death.

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of breath and was denied admission without a medical clearance by Respondent. Respondent spoke with Patient P-1 on January 4, 2012 regarding her cough and to discuss her breathing troubles/bronchitis/wheezing. On January 5, 2012, Respondent medically cleared Patient P-1 to be treated at John Muir.

- 16. On January 11, 2012, Patient P-1 called Respondent because the pharmacy requested Patient P-1 ask him for a new prescription for Norco. On January 11, 2012, Dr. N.F. called Respondent to advise that Patient P-1 fell out of her wheelchair on January 10, 2012 and was walking down the street "totally out of it" and wanted to know whether to stop Depakote 10 because Patient P-1 claimed that Depakote caused the problem. The medical records are unclear as to whether Respondent contacted Dr. N.F. to follow-up. On January 15, 2012, Respondent prescribed 180 pills of 10-mg hydrocodone/325 milligram acetaminophen with an MME of 60 per day. On January 16, 2012, Respondent prescribed 600 pills of methadone for 200 milligrams per day with an MME of 2400 per day.
- 17. Patient P-1 was admitted to John Muir hospital from January 24, 2012 to January 28, 2012 for respiratory failure secondary to narcotic and benzodiazepine overdose and was in an altered mental status secondary to drug overdose. She was found unresponsive by her son and taken by ambulance to the hospital. Patient P-1 had previously been admitted to John Muir hospital for opioid overdose on "multiple occasions". Patient P-1 had difficulty with her sight but had several large bottles of medication with her in different strengths which was noted to not be a "safe situation" and she was to be followed by a Home Health RN for medication review. Respondent was cc'd on the medical records related to this hospitalization.
- 18. Patient P-1 was discharged from the hospital on January 28, 2012 and on January 31, 2012, a home health nurse called Respondent to alert him that Patient P-1 was "taking

¹⁰ Depakote is the brand name for Valproic Acid and is an anticonvulsant used to treat seizures and bipolar disorder.

⁹ Norco is a trade name for hydrocodone bitartrate with APAP (hydrocodone with acetaminophen) tablets. Norco 325/10 reflects that each pill contains 325 mg of acetaminophen and 10 mg of hydrocodone bitartrate. Hydrocodone bitartrate is a semisynthetic narcotic analgesic and a dangerous drug as defined in section 4022 and a Schedule III controlled substance.

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medications that she should not be taking" and wanted to discuss possibly getting her a social worker. Respondent called back and left a message per medical records.

- 19. Concord Health Center prescription records show the following prescriptions written by Respondent: on February 7, 2011: Methadone: 10 mg with 120 quantity; on April 11, 2011: Methadone; 10 mg and Oxycodone with Acetaminophen (Percocet) 11 5 mg/325 mg; 90 tabs quantity (one week supply at a time); on April 20, 2011: 180 tabs of methadone 10 mg; on July 21, 2011: 180 tabs of 10 mg methadone and 90 tabs of 5 mg/325 mg Percocet; on August 10, 2011: 240 tabs of 10 mg methadone and 180 tabs of 10 mg/325 mg Norco; on September 1, 2011: 180 tabs of 10 mg/325 mg Norco; on October 5, 2011 480 tabs of 10 mg methadone and 180 tabs 10 mg/325 mg Norco; On October 31, 2011 480 tabs of 10 mg refilled by Dr. A.K.; December 19, 2011: 600 tabs 10 mg Methadone, 240 ml 6.25 mg/10 mg promethazine-codeine syrup and 180 tabs of Norco 10 mg/325 mg; in December 28, 2011 240 6,25 mg/10 mg promethazine-codeine syrup; on December 29, 2011: 240 ml 6,25 mg/10 mg promethazinecodeine syrup; January 11, 2012: 600 tabs of 10 mg methadone; 180 tabs Norco 10 mg/325 mg; 240 ml promethazine-codeine syrup 6.25 mg/10 mg; January 25, 2012: 240 ml promethazinecodeine syrup 6.25 mg/10 mg. On January 19, 2012 and January 25, 2012, Respondent ordered 240 milliliters of Promethazine-Codeine syrup (6.25 mg/10 mg) for Patient P-1. On January 25, 2012, Respondent prescribed 180 tablets of Norco (10/325 mg) with one refill remaining.
- 20. Repondent was interviewed on October 11, 2018 regarding his treatment of Patient P-1. Respondent recalled Patient P-1 ran out of methadone in November 2011 and compensated with alcohol and became suicidal and had to be hospitalized. He described her as a "very, very challenging patient." Respondent admitted considering tapering his patient, however, he did not do so. Respondent also admitted he did not have access to CURES but that he had called the pharmacy to access CURES. Respondent was questioned about the CURES prescription report which showed that he prescribed methadone amounts of 10 milligram, 600 pills in December

¹¹ Percocet is a trade name for Oxycodone and Acetaminophen combined. Oxycodone is an opioid pain medication sometimes called a narcotic and Acetaminophen is a less potent pain reliever that increases the effect of the oxycodone. Oxycodone is a dangerous drug as defined in section 4022 and a Schedule II controlled substance. It is a more potent pain reliever than morphine or hydrocodone.

2011 and 10 milligram, 600 pills in January 2012 and stated "that does not sound right." These prescription levels were later confirmed from a CVS Pharmacy patient prescription profile that showed that on December 19, 2011, Respondent wrote a prescription for methadone (10 milligrams, 600 tabs) and again on January 16, 2012 (10 milligrams, 600 tabs).

21. Patient P-1 died on February 5, 2012 and the coroner listed her cause of death as "acute methadone intoxication."

CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Gross Negligence/Repeated Negligent

Acts/Incompetence/Improper Prescribing Without an Appropriate Prior Examination and

Medical Indication)

(Code Sections 2234(b), (c), and (d); 2242)

- 22. Respondent is subject to disciplinary action under section 2234, subdivisions (b) (gross negligence), (c) (repeated negligent acts), (d) (incompetence) and 2242 (improper prescribing) in that Respondent has committed gross negligence and/or repeated negligent acts and/or incompetence in the practice of medicine as described above, including, but not limited to, the following:
- A. Respondent failed to reduce the dosage of opioids prescribed by reassessing the need for opioids every three months and determining if the dosage should be tapered. Respondent stated that he considered tapering Patient P-1 from methadone but instead he increased the dosage and even added additional opiate medication over a period of five months and Patient P-1 subsequently died of methadone intoxication.
- B. Respondent failed to refer Patient P-1 to an addiction or pain specialist despite dosages of greater than 80 morphine milligram equivalents per day. Patient P-1 received 2400 morphine milligram equivalents per day without referral to an addiction or pain specialist.
- C. Respondent failed to take steps to account for, and was unaware of, the amount of narcotics prescribed to Patient P-1. For example, Respondent did not review the state prescription drug monitoring program (CURES) despite Patient P-1 being prescribed opioids. Respondent admitted that he had no access to CURES, however, he called the pharmacy and

requested that the pharmacy access CURES, yet Respondent did not appear to understand the amount of medications that Patient P-1 was being prescribed.

- D. Respondent prescribed Patient P-1 more than one central nervous system depressant in combination. Patient P-1 was treated by multiple clinicians who prescribed a combination of benzodiazepines and opioids and Respondent apparently did not check CURES or take other steps to determine what prescriptions Patient P-1 obtained from other prescribers and failed to limit or taper the combinations of central nervous system depressants.
- E. Respondent failed to use drug testing before starting opioid therapy for chronic pain, and failed to take other steps to verify the patient's compliance with a treatment plan. For example, Respondent did not consider regular urine drug testing at least annually to assess the combinations being used including other prescribed medications, as well as other controlled prescription drugs and illicit drugs.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 83871, issued to Philipp Leo Bannwart, M.D.;
- 2. Revoking, suspending or denying approval of Philipp Leo Bannwart, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Philipp Leo Bannwart, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
 - 4. Taking such other and further action as deemed necessary and proper.

DATED:

December 17, 2018

Executive Director

Medical Board of California
Department of Consumer Affairs
State of California

State of California Complainant

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